

SERFF Tracking Number: ERCB-127417328 State: Arkansas
 Filing Company: North American Specialty Insurance Company State Tracking Number: 49691
 Company Tracking Number: NAS-ESL-AR-11-06109-1-F
 TOI: H12 Health - Excess/Stop Loss Sub-TOI: H12.004 Self-Funded Health Plan
 Product Name: AR - ESL - ITC Amendatory Endorsement - SP 5 328 0811
 Project Name/Number: AR - ESL - ITC Amendatory Endorsement - SP 5 328 0811/NAS-ESL-AR-11-06109-1-F

Filing at a Glance

Company: North American Specialty Insurance Company

Product Name: AR - ESL - ITC Amendatory SERFF Tr Num: ERCB-127417328 State: Arkansas

Endorsement - SP 5 328 0811

TOI: H12 Health - Excess/Stop Loss SERFF Status: Closed-Approved- State Tr Num: 49691
 Closed

Sub-TOI: H12.004 Self-Funded Health Plan Co Tr Num: NAS-ESL-AR-11- State Status: Approved-Closed
 06109-1-F

Filing Type: Form

Reviewer(s): Rosalind Minor
 Author: Robin Bromell Disposition Date: 09/07/2011
 Date Submitted: 08/30/2011 Disposition Status: Approved-Closed

Implementation Date Requested: On Approval

Implementation Date:

State Filing Description:

General Information

Project Name: AR - ESL - ITC Amendatory Endorsement - SP 5 328 0811 Status of Filing in Domicile: Pending

Project Number: NAS-ESL-AR-11-06109-1-F

Date Approved in Domicile:

Requested Filing Mode: Review & Approval

Domicile Status Comments:

Explanation for Combination/Other:

Market Type: Group

Submission Type: New Submission

Group Market Size: Large

Group Market Type: Employer

Overall Rate Impact:

Filing Status Changed: 09/07/2011

State Status Changed: 09/07/2011

Deemer Date:

Created By: Robin Bromell

Submitted By: Robin Bromell

Corresponding Filing Tracking Number:

Filing Description:

North American Specialty Insurance Company is filing the International Trade Controls Amendatory Endorsement, SP 5 328 0811 NAS, for attachment to its Excess Stop Loss policy, SP 001 236 1100 NAS.

The policy provides excess coverage at a specific retention level chosen by the self-insured employer. The maximum coverage is typically \$1 million per member per year, less the employer's specific retention.

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This endorsement will be optional and will act to clarify our intent not violate U.S. sanctions law in providing excess stop loss coverage. In compliance with the Office of Foreign Assets Control (OFAC) of the US Department of the Treasury advisory 11-16-07, the most reliable approach for insuring risks without violating U.S. sanctions law is to insert in insurance policies an explicit exclusion for risks that would violate U.S. sanctions law.

This endorsement is adding clarification, and has no rating impact.

The effective date will be the approval date of this filing.

Company and Contact

Filing Contact Information

Robin Bromell, Compliance Specialist robin_bromell@swissre.com
5200 Metcalf 800-255-6931 [Phone] 5503 [Ext]
Overland Park, KS 66201

Filing Company Information

North American Specialty Insurance Company	CoCode: 29874	State of Domicile: New Hampshire
5200 Metcalf	Group Code: 181	Company Type:
Overland Park, KS 66201	Group Name: Swiss Re	State ID Number:
(800) 255-6931 ext. [Phone]	FEIN Number: 02-0311919	

Filing Fees

Fee Required?	Yes
Fee Amount:	\$50.00
Retaliatory?	No
Fee Explanation:	Arkansas Filing Fee of \$50.00
Per Company:	No

COMPANY	AMOUNT	DATE PROCESSED	TRANSACTION #
North American Specialty Insurance Company	\$50.00	08/30/2011	51110726

SERFF Tracking Number: *ERCB-127417328* *State:* *Arkansas*
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Correspondence Summary

Dispositions

Status	Created By	Created On	Date Submitted
Approved-Closed	Rosalind Minor	09/07/2011	09/07/2011

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Disposition

Disposition Date: 09/07/2011

Implementation Date:

Status: Approved-Closed

Comment:

Rate data does NOT apply to filing.

<i>SERFF Tracking Number:</i>	<i>ERCB-127417328</i>	<i>State:</i>	<i>Arkansas</i>
<i>Filing Company:</i>	<i>North American Specialty Insurance Company</i>	<i>State Tracking Number:</i>	<i>49691</i>
<i>Company Tracking Number:</i>	<i>NAS-ESL-AR-11-06109-1-F</i>		
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Schedule	Schedule Item	Schedule Item Status	Public Access
Supporting Document	Application	Approved-Closed	Yes
Supporting Document	Flesch Certification	Approved-Closed	Yes
Form	International Trade Controls Amendatory Endorsement	Approved-Closed	Yes

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Form Schedule

Lead Form Number:

Schedule Item	Form Number	Form Type	Form Name	Action	Action Specific Data	Readability	Attachment
Approved-Closed 09/07/2011	SP 5 328 0811 NAS	Policy/Cont ract/Fratern al	International Trade Controls Amendatory Endorsement	Initial		0.000	SP 5 328 0811 NAS.pdf
		Certificate: Amendmen t, Insert Page, Endorseme nt or Rider					

INTERNATIONAL TRADE CONTROLS AMENDATORY ENDORSEMENT

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

IT IS AGREED that the **Policy** is hereby amended as follows:

- I. Section Six: Exclusions shall be amended to add Item 2 as follows:
 2. **INTERNATIONAL TRADE CONTROLS EXCLUSION.** We will not be deemed to provide coverage, nor shall we be liable to pay any claim or pay any Benefit hereunder to the extent that the provision of such coverage, payment of such claim, or provision of such Benefit would expose us to any sanction, prohibition or restriction under any United Nations resolutions or the trade or economic sanctions, laws or regulations of any jurisdiction applicable to us.

All other terms and conditions of this policy shall remain unchanged.

This endorsement forms a part of the policy to which attached, effective on the inception date of the policy unless otherwise stated herein.

(The information below is required only when this endorsement is issued subsequent to the preparation of the policy.)

**Endorsement Effective
Named Insured**

Policy No.

NORTH AMERICAN SPECIALTY INSURANCE COMPANY

President

Secretary

<i>SERFF Tracking Number:</i>	<i>ERCB-127417328</i>	<i>State:</i>	<i>Arkansas</i>
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Supporting Document Schedules

	Item Status:	Status
		Date:
Bypassed - Item:	Application	Approved-Closed 09/07/2011
Bypass Reason:	We are not filing the policy in this filing. The policy SP 001 236 1100 NAS has been approved.	
Comments:		

	Item Status:	Status
		Date:
Satisfied - Item:	Flesch Certification	Approved-Closed 09/07/2011
Comments:		
Attachment:		
AR Flesch Reading Ease Certification.pdf		

Swiss Re



North American Specialty Insurance Company
5200 Metcalf
Overland Park, KS 66201
T 913-676-5200
F 913-676-6226
www.swissre.com

August 30, 2011

Arkansas Insurance Department
Life & Health Division
1200 West 3rd Street
Little Rock, AR 72201-1904

NAIC No: 181-29874
FEIN: 02-0311919

Re: North American Specialty Insurance Company
Excess Medical Indemnity Program-
Employer Excess Stop Loss Form Filing
International Trade Controls Amendatory Endorsement, SP 5 328 0811 NAS
Our Filing No; NAS-ESL-AR-11-06109-1-F

Dear Director:

The endorsement form contained in this filing meets the minimum reading ease score on the Flesch Reading Ease. We scored the policy form and the endorsement together. The Flesch score was 41.1.

Sincerely,

Giuseppe LePera, Vice President
North American Specialty Insurance Company